

ADOA-ASET
Arizona Strategic Enterprise Technology

FY14
Automation Project

2013 LEGISLATION



2013 Legislative Session – 1st Special Session

- **Chapter 1– 2013-2014; general appropriations**

- <http://www.azleg.gov/legtext/51leg/1s/bills/hb2001h.pdf>

- **Chapter 6 – 2013-2014; budget procedures**

- <http://www.azleg.gov/legtext/51leg/1s/bills/hb2006h.pdf>

Chapter 1 – Sec 115

- Sec. 115. Appropriations; automation projects fund; fiscal years 2013-2014, 2014-2015 and 2015-2016
 - A. ADOA ERP \$28,638,000
 - B. ADOA-ASET
 - 1. SDC \$2,675,000
 - 2. ASET-SPR \$3,075,000
 - 3. EA \$1,000,000
 - 4. EPMO \$2,450,000
 - 5. E-gov Projects \$325,000
 - 6. Web Portal Transition \$1,975,000
 - C. DOR BRITS Refresh \$1,700,000
 - D. DOR Security \$4,900,000
 - E. ADC AIMS Replacement \$8,000,000
 - F. DEQ E-licensing \$5,000,000
 - G. ADE SLDS & ELAS \$7,000,000

FY13 Agencies / Initiatives

Agency	Project	\$
ADOA - ERP	ERP	\$ 10,000,000
ADOA - IT	Transformation	\$ 9,900,000
DOR	BRITS Refresh	\$ 3,400,000
AHCCCS	Security	\$ 830,000
Total		\$ 24,130,000

FY14 Agencies / Initiatives

Agency	Project	\$	
ADOA - ERP	ERP	\$	28,638,000
ADOA - IT	Transformation	\$	11,500,000
DOR	BRITS Refresh	\$	1,700,000
DOR	Security	\$	4,900,000
ADC	AIMS Replacement	\$	8,000,000
DEQ	E-licensing	\$	5,000,000
ADE	SLDS & ELAS	\$	7,000,000
Total		\$	66,738,000

Chapter 1 – Sec 115 Continued

- H. In addition to the initial review of expenditures from the automation projects fund by the joint legislative budget committee pursuant to section 41-714, Arizona Revised Statutes, monies appropriated in subsections A through F of this section from the automation projects fund established by section 41-714, Arizona Revised Statutes, **shall not be used for any purpose other than the specified purposes within each subsection without prior review by the joint legislative budget committee.**
- I. The **department of administration** shall submit to the joint legislative budget committee **quarterly reports** on or before the last day of each calendar quarter on the implementation of projects described in this section, including the projects' **deliverables, timeline for completion and current status.**

Chapter 1 – Sec 126 Fund Balance Transfers Automation Projects Fund

- 2. **\$1,600,000** from the **education learning and accountability fund** established by section 15-249.02, Arizona Revised Statutes.
- 3. In addition to any amount appropriated in paragraph 2 of this section, any remaining balances as of June 30, 2013 from **fees collected from universities and community college districts** from the education learning and accountability fund established by section 15-249.02, Arizona Revised Statutes.

Chapter 1 – Sec 126 Fund Balance Transfers Automation Projects Fund

- 1. **\$7,100,000** from the **state general fund**.
- 4. **\$5,000,000** from the **emissions inspection fund** established by section 49-544, Arizona Revised Statutes.
- 5. **\$8,130,000** from the **automation operations** fund established by section 41-711, Arizona Revised Statutes.
- 6. **\$4,000,000** from the **state web portal fund** established by section 41-3506, Arizona Revised Statutes.
- 7. **\$345,000** from the **information technology** fund established by section 41-3505, Arizona Revised Statutes.
- 8. **\$5,500,000** from the **inmate store proceeds fund** established by section 41-1604.02, Arizona Revised Statutes.
- 9. Notwithstanding section 42-3106, Arizona Revised Statutes, **\$2,500,000** from the state **department of corrections revolving fund** established by section 42-3106, Arizona Revised Statutes.

Fund Balance Transfers

Fund Balance Transfers	
General Fund	\$ 7,000,000
Education Learning & Accountability Fund	\$ 1,600,000
Emission Inspection Fund	\$ 5,000,000
Automation Operations Fund	\$ 8,130,000
State Web Portal Fund	\$ 4,000,000
Information Technology Fund	\$ 345,000
Inmate Store Proceeds Fund	\$ 5,500,000
Department of Corrections Revolving Fund	\$ 2,500,000
Total Transfers	\$ 34,075,000

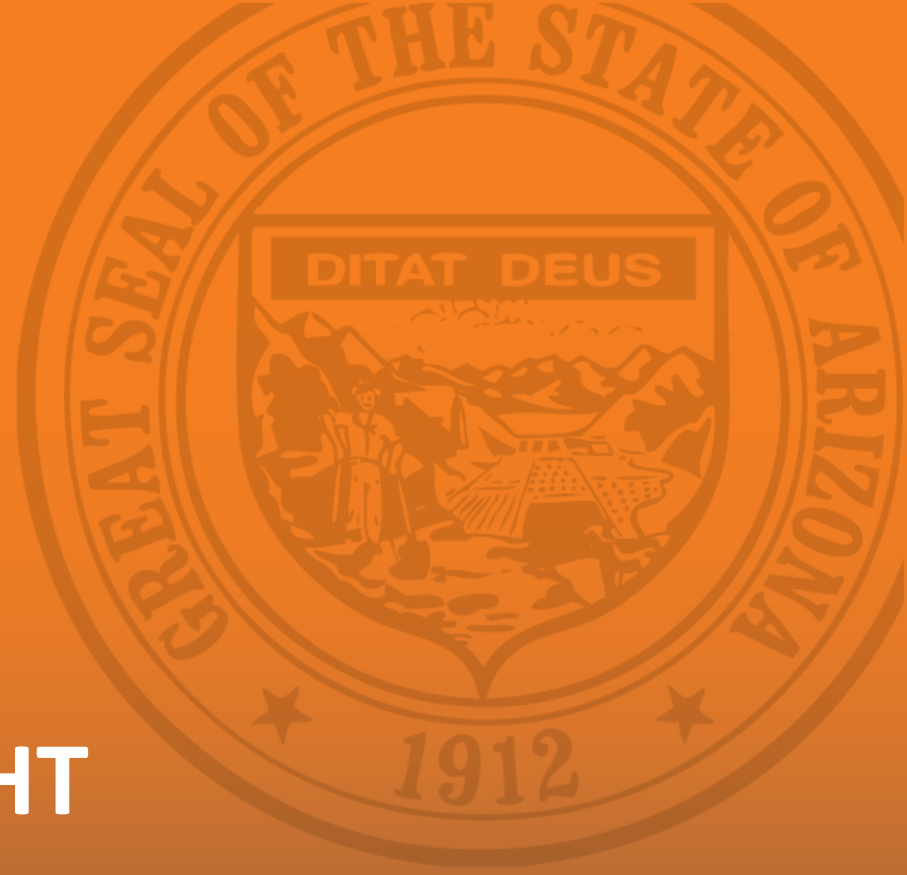
Chapter 1 – Sec 127 Fund Balance Transfer

- Pulls funding from over 100 funds to fully fund the \$66,738,000
- Department of education: (\$104,900)
 - Department of education empowerment scholarship account fund \$1,400
 - IGA and ISA fund \$26,800
 - Indirect cost recovery fund \$36,300
 - Internal services fund \$25,900
 - Production revolving fund \$14,500
- ADOA-ASET:
 - Emergency telecommunication services revolving fund (911) \$124,300
 - Telecommunications fund (EIC) \$13,000

Chapter 6– Sec 2 Auto Projects

- A. The automation projects fund is established consisting of monies appropriated by the legislature. The department of administration shall administer the fund. Monies in the fund are **continuously appropriated SUBJECT TO LEGISLATIVE APPROPRIATION**. Monies in the fund are exempt from the provisions of section 35-190 relating to lapsing of appropriations.
- B. Monies in the fund shall be used to implement, upgrade or maintain automation and information technology projects for any state agency.
- C. **Before the expenditure of any monies from the fund, the joint legislative budget committee shall review the expenditure plan** presented by the department for the fiscal year in which the monies are to be spent.

INCREASED OVERSIGHT



Objective

Improve project execution, risk mitigation, communication and transparency for all Initiatives and Projects through consultative oversight services

Increased Oversight Roles

Role	Description
Program Administrator	Manager for all oversight services (including existing).
Strategic Program Manager	Strategic Planning; MBOs; Initiatives Definition; Business Case Dev; Requirements Definition; Financials; Reporting, etc.
Oversight Analyst	Help Develop PIJs; Assess and Scrutinize Projects; Prepare for ITAC; Monitor Against Established Criteria
Business Analyst	Implement & Refine Templates; Define, Maintain & Improve Processes; Provide Training
Finance Analyst	Monitor & Coordinate Financials
Oversight Manager (Existing team)	Existing team. Responsible for reviewing all IT projects over \$25K and recommending approval to State CIO & ITAC

Arizona IT Project Life Cycle Framework

* Governor's Key Initiatives

- * Agency Strategic Plan

- * Agency IT Strategic Plan

Project Funding Approval Life Cycle

State and/or Federal Approval

- * Includes JLBC Review

Acquisition Life Cycle

Acquisition Strategy
& Planning

Contracting

Product
Acceptance

Project Approval

PIJ
ITAC

Project Management Life Cycle

Identify

Plan

Execute

Close

Oversight Monitoring & Reporting

Automation Projects Oversight

- JLBC Report & Presentation
 - ADOA to provide template
 - Agency develop report and project breakout – materials must be provided a min of 14 days prior to meeting
 - Agency presents to JLBC with ADOA support
 - JLBC Favorable Review – ADOA has requested a meeting ASAP – Next meeting in September
- Transfer Funds to Agencies Upon Favorable Review (from ADOA to Agencies)
- Project Investment Justification (PIJ) & ITAC Approval (if required)
 - Business as usual

Automation Projects Oversight

- Project Execution
 - Business as usual – Execution Belongs to the ADE
- Quarterly JLBC Reporting
 - ADOA to provide template
 - Agency to provide detail
 - ADOA will support and review prior to submitting to JLBC
- Monthly Financial Reporting
 - ADOA to provide template
 - Agency to provide monthly financial data
 - ADOA to ensure financial consistency

Agency Responsibilities

- Getting JLBC Favorable Review
- Developing PIJ and getting approval
- Monthly Financial Reporting
- Quarterly JLBC Reporting
- Project Ownership and Execution

FY14 ADE PROJECTS



FY14 ADE Projects - \$7,000,000

- Program Support Office (PSO) - \$1,000,000
- Arizona Education Learning and Accountability System (AELAS) - Student Information System (SIS) - \$450,000
- Arizona Education Learning and Accountability System (AELAS) - Education Data Fidelity (Ed-Fi) - \$2,000,000
- Arizona Education Learning and Accountability System (AELAS) - School Finance - \$800,000
- Statewide Longitudinal Data System (SLDS) – Arizona Education Data Driven Decision System (AzED³S) - \$2,750,000

Program Support Office (PSO) - \$1,000,000

- Create standards for project design, development and implementation as well as financial oversight for ADE IT's contract laborers
- Prepare reports and other materials required for AELAS program oversight
- Consisting of Portfolio Director, Phase Gate Management, Vendor Management, Communications, Risk Management, Financial Management and Oversight Management

AELAS - Student Information System (SIS) - \$450,000

- Replace Student capabilities of the aging Student Accountability and Information System (SAIS)
- Create and integrate SIS to Student Operational Data Stores
- Request for Proposal (RFP) - Statewide SIS that Local Education Agencies (LEAs) can purchase
- *ADE anticipates implementing six LEAs in FY14, with further implementations in later years

AELAS - Education Data Fidelity (Ed-Fi) - \$2,000,000

- Standardize SIS Operational Data Store import and export processes
- Provide upload and validation mechanism for LEA data (reduce LEA data collection burden)
- *ADE anticipates implementing Ed-Fi extractors for four major SIS vendors in FY14, with further implementations in later years

AELAS - School Finance - \$800,000

- Streamline and automate existing, manual Class Site Fund (CSF) financial capability to reduce processing times
- * Complete SAIS School Finance capability replacement is out of scope for FY14, and SAIS sustainability remains a significant risk

SLDS – Arizona Education Data Driven Decision System (AzED³S) - \$2,750,000

- Provide Ed-Fi Dashboards to 200 LEAs
- Implement data security protocols
- *Additional data source integration and LEA roll out continued in FY15



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H 4TH
&P Cycles!